SECTION 4 OF APPLICATION FORM -
STATEMENT OF FINANCIAL POSITION DECLARATION

PLEASE NOTE:

- This Statement of Financial Position Declaration (**Declaration**) must be submitted with an application for membership with the Victorian Bookmakers' Association Limited (**Association**). The applicant for membership (**Applicant**) must complete all three parts of this Declaration, which comprises of the following:
  - **Schedule A – Assets**;
  - **Schedule B – Liabilities**; and
  - **Schedule C – Net Assets and declaration**.

- Where any details requested in this Declaration do not apply to the Applicant's circumstances, the words *"N/A"* or *"Not Applicable"* should be inserted in the answer field provided.

- Please use BLOCK letters when completing this Declaration and insert values in AUD$. Where the Association has stated that it will accept foreign assets, the conversion to AUD$ must be made as at the date of this form.

- If the provided space for any particular answer in this Declaration is insufficient, please attach additional documentation or information as appropriate.

- This Declaration must be completed by:
  - If the Applicant is an individual or sole trader, by that natural person;
  - If the Applicant is a partnership, by each partner; or
  - If the Applicant is a corporation, by each Director of that corporation.

DETAILS OF APPLICANT AND PERSON COMPLETING THIS DECLARATION:

Name of Applicant bookmaking entity:	
Trading name (if any):	
ACN/ABN:	
Name of person completing this Declaration:	
Capacity (i.e. individual, partner, director):	

SCHEDULE A: ASSETS

HOW ARE TOTAL ASSETS CALCULATED?

To calculate TOTAL ASSETS, please add up the sub-totals marked **A** to **V**

These can be found at the bottom of each of the tables outlined in this Schedule A. **For example**, see the following screenshots:

1. CASH AT FINANCIAL INSTITUTIONS

Please include ALL accounts maintained by you solely or in conjunction with another person(s). Please do NOT include the guarantee given either to the Victorian Bookmakers' Association or the Victorian Gambling and Casino Control Commission to satisfy section 94A of the *Racing Act 1958* (Vic).

1.1 BOOKMAKING ACCOUNTS

Bookmaker	Financial Institution	BSB	A/C No	Type of Account (Individual / Joint)	Ownership (%)	Date of last transaction	Current amount of your share ($)	Accountant activity (e.g., sighted bank statement)	Signature of Accountant

A SUBTOTAL:

1.2 SAVING ACCOUNTS

Financial Institution	BSB	A/C No	Type of Account (Individual / Joint)	Ownership (%)	Date of last transaction	Current amount of your share ($)	Accountant activity (e.g., sighted bank statement)	Signature of Accountant

B SUBTOTAL:

ADDITIONAL DOCUMENTATION REQUIRED

Please include a supporting balance sheet if you have referred to an interest or interests in a Company, Partnership, or a Family Trust. In relation to any shareholding in an unlisted company, please include a copy of the latest set of accounts.

1. CASH AT FINANCIAL INSTITUTIONS

Please include ALL accounts maintained by you solely or in conjunction with another person(s). Please do not include any details of any amount given to the Association as part of the Wager Guarantee (as that term is defined in the VBA's Eligibility Policy).

1.1 BOOKMAKING ACCOUNTS

Bookmaker	Financial Institution	BSB	A/C No	Type of Account (Individual / Joint)	Ownership (%)	Date of last transaction	Current amount of your share ($)	Office Use Sighted	Office Use Sighted

A SUBTOTAL:

1.2 SAVING ACCOUNTS

Financial Institution	BSB	A/C No	Type of Account (Individual / Joint)	Ownership (%)	Date of last transaction	Current amount of your share ($)	Office Use Sighted	Office Use Sighted

B SUBTOTAL:

1.3	INVESMENT ACCOUNTS							
Financial Institution	BSB	A/C No	Type of Account (Individual / Joint)	Ownership (%)	Date of last transaction	Current amount of your share ($)	Office Use Sighted	Office Use Sighted
					C SUBTOTAL:			

1.4	TERM DEPOSITS							
Financial Institution	BSB	A/C No	Type of Account (Individual / Joint)	Ownership (%)	Date of last transaction	Current amount of your share ($)	Office Use Sighted	Office Use Sighted
					D SUBTOTAL:			

1.5	CASH MANAGEMENT ACCOUNTS							
Financial Institution	BSB	A/C No	Type of Account (Individual / Joint)	Ownership (%)	Date of last transaction	Current amount of your share ($)	Office Use Sighted	Office Use Sighted
					E SUBTOTAL:			

2. CASH OWED TO APPLICANT BY OTHER PERSONS

Please include ALL amounts owed to you by other persons which has been documented in writing, including family members.
Please do not include any details of any amount given to the Association as part of the Wager Guarantee (as that term is defined in the VBA's Eligibility Policy).

2.1									
Name and address of person owing (debtor)	**Relationship to applicant (i.e., family member, shareholder)**	**Date incurred (dd/mm/yyyy)**	**Original amount**	**Payment period**	**Interest rate (if any)**	**Deadline for final payment (dd/mm/yyyy)**	**Amount unpaid ($)**	**Office Use Sighted**	**Office Use Sighted**
						F SUBTOTAL:			

3. CASH OTHERWISE HELD

Please include ALL amounts of cash otherwise held by you that is not already described in questions 1 and 2 of this Schedule A above.
Please do not include any details of any amount given to the Association as part of the Wager Guarantee (as that term is defined in the VBA's Eligibility Policy).

3.1					
Location of funds	**Type of Account (Individual / Joint)**	**Current amount of your share ($)**	**Ownership (%)**	**Office Use Sighted**	**Office Use Sighted**
		G SUBTOTAL:			

4. INVESTMENTS

Please do not include any details of any amount given to the Association as part of the Wager Guarantee (as that term is defined in the VBA's Eligibility Policy). Please INCLUDE any business investment in which you have any direct, indirect, vested, or contingent interest.

4.1	SHARES (incl. shares in Australian or overseas businesses)							
Type / Name	**Company / Other Investment details**	**Quantity**	**Date of valuation**	**Type of ownership (Individual / Joint)**	**Ownership (%)**	**Current market value**	**Office Use Sighted**	**Office Use Sighted**

H **SUBTOTAL:**

4.2	FIXED INTEREST SECURITIES (i.e., DEBUNTURES, BONDS)							
Type / Name	**Company / Other Investment details**	**Quantity**	**Date of valuation**	**Type of ownership (Individual / Joint)**	**Ownership (%)**	**Current market value**	**Office Use Sighted**	**Office Use Sighted**

I **SUBTOTAL:**

4.3	SUPERANNUATION (where legally able to immediately access the benefits in accordance with Commonwealth legislation)							
Type / Name	Company / Other Investment details	Quantity	Date of valuation	Type of ownership (Individual / Joint)	Ownership (%)	Current market value	Office Use Sighted	Office Use Sighted

J **SUBTOTAL:**

4.4	LIFE INSURANCE							
Type / Name	Company / Other Investment details	Quantity	Date of valuation	Type of ownership (Individual / Joint)	Ownership (%)	Current market value*	Office Use Sighted	Office Use Sighted

K **SUBTOTAL:**

*Includes only the cash surrender value of the life insurance policy. This is the amount received if the life insurance policy was cancelled before it matures or before the person passes away.

4.5	UNIT TRUSTS							
Type / Name	Company / Other Investment details	Quantity	Date of valuation	Type of ownership (Individual / Joint)	Ownership (%)	Current market value	Office Use Sighted	Office Use Sighted

L **SUBTOTAL:**

4.6	OTHER MARKETABLE SECURITIES (Please do not include any assets provided in parts 4.1, 4.2, 4.3, 4.4, 4.5 or 4.6)							
Type / Name	**Company / Other Investment details**	**Quantity**	**Date of valuation**	**Type of ownership (Individual / Joint)**	**Ownership (%)**	**Current market value**	**Office Use Sighted**	**Office Use Sighted**

N **SUBTOTAL:**

5. REAL ESTATE

Please include all Australian and foreign real estate holdings that are registered wholly or partly in your name.

5.1									
Property Description (e.g., house, unit, land)	**Address**	**Date of Valuation (dd/mm/yyyy)**	**Method of valuation**	**Is the property jointly owned? (Y/N)**	**Full value of property**	**Value of Bookmaker's portion**	**Encumbrances (e.g., mortgage, covenant, guarantee include $ value listed in part 1 of Schedule B)**	**Office Use Sighted**	**Office Use Sighted**

O **SUBTOTAL:**

6. OTHER BUSINESS VENTURES

Please include ANY joint ventures, partnerships, sole proprietorships, family trusts and other business ventures.

6.1	JOINT VENTURES						
Name of Company / Venture	% of Your Holding	Total Assets	Total Liabilities	Net Assets	Last Date accounts audited	Office Use Sighted	Office Use Sighted

P SUBTOTAL:

6.2	PARTNERSHIPS						
Name of Company / Venture	% of Your Holding	Total Assets	Total Liabilities	Net Assets	Last Date accounts audited	Office Use Sighted	Office Use Sighted

Q SUBTOTAL:

6.3	SOLE PROPRIETORSHIPS						
Name of Company / Venture	**% of Your Holding**	**Total Assets**	**Total Liabilities**	**Net Assets**	**Last Date accounts audited**	**Office Use Sighted**	**Office Use Sighted**
			R **SUBTOTAL:**				

6.4	FAMILY TRUSTS						
Name of Company / Venture	**% of Your Holding**	**Total Assets**	**Total Liabilities**	**Net Assets**	**Last Date accounts audited**	**Office Use Sighted**	**Office Use Sighted**
			S **SUBTOTAL:**				

7. ASSETS HELD ON YOUR BEHALF

Please include any assets held on trust or otherwise.

7.1	OTHER BUSINESS VENTURES					
Description of Assets	Company / Other Investment details	Ownership %	Total market value	Market value of Bookmaker's %	Office Use Sighted	Office Use Sighted

T **SUBTOTAL:**

8. OTHER ASSETS

Please include any additional assets that have independently valued, which valuations may be accepted for inclusion in this Declaration by the Association in its sole discretion.

8.1	MOTOR VEHICLES, FURNITURE, ARTWORK, BOATS, CARAVANS, JEWELLERY, ANTIQUES AND OTHER COLLECTIONS						
Description of Assets	Ownership %	Date of Valuation (dd/mm/yyyy)	Method of valuation	Total market value	Market value of Bookmaker's %	Office Use Sighted	Office Use Sighted

U **SUBTOTAL:**

8.2	OTHER ASSETS NOT COVERED IN 8.1						
Description of Assets	Ownership %	Date of Valuation (dd/mm/yyyy)	Method of valuation	Total market value	Market value of Bookmaker's %	Office Use Sighted	Office Use Sighted
				V SUBTOTAL:			

A + B + C ... V ▸ **TOTAL ASSETS:** --

SCHEDULE B: LIABILITIES

HOW ARE TOTAL LIABILITIES CALCULATED?

To calculate TOTAL LIABILITIES, please add up the sub-totals marked **W** to **AD**

These can be found at the bottom of each of the tables outlined in this schedule, for example:

1. LOANS

Please include ANY loans of a personal or business nature.

1.1 OVERDRAFTS									
Financial Institutions / Persons	Loan security (e.g., property address)	Credit type (e.g., personal or business)	Ownership amount (% and/or jointly and severally held)	Total loan amount ($)	Outstanding Amount ($)	Current amount of your share ($)	Frequency of repayment and amount	Accountant activity (e.g., sighted loan documentation, confirmed balance)	Signature of Accountant

W SUBTOTAL:

1.2 MORTGAGES (i.e., real property loans)									
Financial Institutions / Persons	Loan security (e.g., property address)	Credit type (e.g., personal or business)	Ownership amount (% and/or jointly and severally held)	Total loan amount ($)	Outstanding Amount ($)	Current amount of your share ($)	Frequency of repayment and amount	Accountant activity (e.g., sighted loan documentation, confirmed balance)	Signature of Accountant

X SUBTOTAL:

1. LOANS

Please include ANY loans of a personal or business nature.

1.1	OVERDRAFTS								
Financial Institutions / Persons	**Loan security (e.g., property address)**	**Credit type (e.g., personal or business)**	**Ownership amount (% and/or jointly and severally held)**	**Total loan amount ($)**	**Outstanding Amount ($)**	**Current amount of your share ($)**	**Frequency of repayment and amount**	**Office Use Sighted**	**Office Use Sighted**

W SUBTOTAL:

1.2	MORTGAGES (i.e., real property loans)								
Financial Institutions / Persons	**Loan security (e.g., property address)**	**Credit type (e.g., personal or business)**	**Ownership amount (% and/or jointly and severally held)**	**Total loan amount ($)**	**Outstanding Amount ($)**	**Current amount of your share ($)**	**Frequency of repayment and amount**	**Office Use Sighted**	**Office Use Sighted**

X SUBTOTAL:

1.3	OTHER LOANS NOT COVERED IN 1.1 OR 1.2								
Financial Institutions / Persons	Loan security (e.g., property address)	Credit type (e.g., personal or business)	Ownership amount (% and/or jointly and severally held)	Total loan amount ($)	Outstanding Amount ($)	Current amount of your share ($)	Frequency of repayment and amount	Office Use Sighted	Office Use Sighted

Y SUBTOTAL:

2. CREDIT CARDS, STORE CARDS, UNSECURED UTILITIES

2.1							
Name of lender (e.g., bank)	Credit type (e.g., card)	Ownership (%)	Credit limit ($)	Repayment frequency and amount	Outstanding amount ($)	Office Use Sighted	Office Use Sighted

Z SUBTOTAL:

3. CREDITORS

Please include ANY creditors of a personal or business nature.

2.1				
Description	**Type (e.g., personal or business)**	**Amount ($)**	**Office Use Sighted**	**Office Use Sighted**
	AA SUBTOTAL:			

4. TAXATION LIABILITIES

Please include amounts outstanding with Commonwealth & State Government agencies (e.g., Australian Taxation Office (income tax, GST) or the State Revenue Office (State taxes, etc.)

4.1							
Name of Agency / Authority (e.g., ATO)	**Debt kind (e.g., PAYG Tax Debt, income tax debt, etc.)**	**Due Date**	**Total Debt Amount ($)**	**Repayment frequency**	**Outstanding balance ($)**	**Office Use Sighted**	**Office Use Sighted**
				AB SUBTOTAL:			

5. GUARANTEES OR INDEMNITIES

Please include ANY guarantees or indemnities of a personal or business nature.

5.1						
Nature of commitment	In favour of (name person / entity)	Ownership (%)	Commitment expiry	Outstanding balance ($)	Office Use Sighted	Office Use Sighted

AC SUBTOTAL:

6. CONTINGENT LIABILITIES

Please include ANY court judgment OR legal proceedings of which you are aware that will materially affect your financial status. Please provide details, expected amount of liabilities.

6.1			
Description	Expected amount ($)	Office Use Sighted	Office Use Sighted

AD SUBTOTAL:

W + **Y** + **Z** … **AD** ▸ **TOTAL LIABILITIES:**

SCHEDULE C: NET ASSETS AND DECLARATION

HOW ARE NET ASSETS CALCULATED?

To calculate your NET ASSETS, you must deduct your TOTAL LIABILITIES (set out in 2) from your TOTAL ASSETS (set out in 1)

These figures can be found at the bottom of pages 12 and 17 above. For example, where the following appears on those pages:

A + B + C … V ▸ TOTAL ASSETS: ---------------- 1

W + Y + Z … AD ▸ TOTAL LIABILITIES: ---------------- 2

Please insert your NET ASSETS here ▸ --

DECLARATION BY THE APPLICANT:

A		
	1. I hereby declare that the information supplied in this statement represents fully and completely my financial status.	Signed by:
	2. I also declare that I am not aware of any matters which may render the information supplied to be incomplete or inaccurate. Additionally, I am not aware of any other matters which may affect my financial status as disclosed in this statement.	*sign here* ▶ --
	3. I undertake to inform the Association by email to info@vicbookmakers.com.au immediately should any matters occur that materially alter my financial status from that set out in this form.	*print name* ▶ --
	4. I acknowledge that the information (including any personal information) contained in this statement will be used by the Association in connection with its assessment of my completed Application Form for membership with the Association. A copy of the Application Form is available at https://vicbookmakers.com.au/join-the-vba/.	*print capacity (i.e., bookmaker, partner, director)* ▶ --
	5. I also declare that I am not aware of any matter occurring in the foreseeable future that would jeopardise the first declaration.	*Insert date* ▶ --