



## SECTION 4 OF APPLICATION FORM - STATEMENT OF FINANCIAL POSITION DECLARATION

### PLEASE NOTE:

- This Statement of Financial Position Declaration (**Declaration**) must be submitted with an application for membership with the Victorian Bookmakers' Association Limited (**Association**). The applicant for membership (**Applicant**) must complete all three parts of this Declaration, which comprises of the following:
  - **Schedule A – Assets;**
  - **Schedule B – Liabilities;** and
  - **Schedule C – Net Assets and declaration.**
  
- Where any details requested in this Declaration do not apply to the Applicant's circumstances, the words "*N/A*" or "*Not Applicable*" should be inserted in the answer field provided.
  
- Please use BLOCK letters when completing this Declaration and insert values in AUD\$. Where the Association has stated that it will accept foreign assets, the conversion to AUD\$ must be made as at the date of this form.
  
- If the provided space for any particular answer in this Declaration is insufficient, please attach additional documentation or information as appropriate.
  
- This Declaration must be completed by:
  - If the Applicant is an individual or sole trader, by that natural person;
  - If the Applicant is a partnership, by each partner; or
  - If the Applicant is a corporation, by each Director of that corporation.

### DETAILS OF APPLICANT AND PERSON COMPLETING THIS DECLARATION:

<b>Name of Applicant bookmaking entity:</b>	
<b>Trading name (if any):</b>	
<b>ACN/ABN:</b>	
<b>Name of person completing this Declaration:</b>	
<b>Capacity (i.e. individual, partner, director):</b>	

# SCHEDULE A: ASSETS

## HOW ARE TOTAL ASSETS CALCULATED?

To calculate TOTAL ASSETS, please add up the sub-totals marked



These can be found at the bottom of each of the tables outlined in this Schedule A. For example, see the following screenshots:

### 1. CASH AT FINANCIAL INSTITUTIONS

Please include ALL accounts maintained by you solely or in conjunction with another person(s). Please do NOT include the guarantee given either to the Victorian Bookmakers' Association or the Victorian Gambling and Casino Control Commission to satisfy section 94A of the *Racing Act 1958* (Vic).

1.1 BOOKMAKING ACCOUNTS									
Bookmaker	Financial Institution	BSB	A/C No	Type of Account (Individual / Joint)	Ownership (%)	Date of last transaction	Current amount of your share (\$)	Accountant activity (e.g., sighted bank statement)	Signature of Accountant
A							SUBTOTAL:		

1.2 SAVING ACCOUNTS									
Financial Institution	BSB	A/C No	Type of Account (Individual / Joint)	Ownership (%)	Date of last transaction	Current amount of your share (\$)	Accountant activity (e.g., sighted bank statement)	Signature of Accountant	
B							SUBTOTAL:		

## ADDITIONAL DOCUMENTATION REQUIRED

Please include a supporting balance sheet if you have referred to an interest or interests in a Company, Partnership, or a Family Trust. In relation to any shareholding in an unlisted company, please include a copy of the latest set of accounts.

## 1. CASH AT FINANCIAL INSTITUTIONS

Please include ALL accounts maintained by you solely or in conjunction with another person(s). Please do not include any details of any amount given to the Association as part of the Wager Guarantee (as that term is defined in the VBA's Eligibility Policy).

1.1 BOOKMAKING ACCOUNTS									
Bookmaker	Financial Institution	BSB	A/C No	Type of Account (Individual / Joint)	Ownership (%)	Date of last transaction	Current amount of your share (\$)	Accountant activity (e.g., sighted bank statement)	Signature of Accountant

**A**

**SUBTOTAL:**

1.2 SAVING ACCOUNTS									
Financial Institution	BSB	A/C No	Type of Account (Individual / Joint)	Ownership (%)	Date of last transaction	Current amount of your share (\$)	Accountant activity (e.g., sighted bank statement)	Signature of Accountant	

**B**

**SUBTOTAL:**

1.3 INVESTMENT ACCOUNTS								
Financial Institution	BSB	A/C No	Type of Account (Individual / Joint)	Ownership (%)	Date of last transaction	Current amount of your share (\$)	Accountant activity (e.g., sighted bank statement)	Signature of Accountant

**C** SUBTOTAL:

1.4 TERM DEPOSITS								
Financial Institution	BSB	A/C No	Type of Account (Individual / Joint)	Ownership (%)	Date of last transaction	Current amount of your share (\$)	Accountant activity (e.g., sighted bank statement)	Signature of Accountant

**D** SUBTOTAL:

1.5 CASH MANAGEMENT ACCOUNTS								
Financial Institution	BSB	A/C No	Type of Account (Individual / Joint)	Ownership (%)	Date of last transaction	Current amount of your share (\$)	Accountant activity (e.g., sighted bank statement)	Signature of Accountant

**E** SUBTOTAL:

**2. CASH OWED TO APPLICANT BY OTHER PERSONS**

Please include ALL amounts owed to you by other persons which has been documented in writing, including family members.

Please do not include any details of any amount given to the Association as part of the Wager Guarantee (as that term is defined in the VBA's Eligibility Policy).

2.1									
Name and address of person owing (debtor)	Relationship to applicant (i.e., family member, shareholder)	Date incurred (dd/mm/yyyy)	Original amount	Payment period	Interest rate (if any)	Deadline for final payment (dd/mm/yyyy)	Amount unpaid (\$)	Accountant activity (e.g., sighted loan agreement)	Signature of Accountant

**F** SUBTOTAL: \_\_\_\_\_

**3. CASH OTHERWISE HELD**

Please include ALL amounts of cash otherwise held by you that is not already described in questions 1 and 2 of this Schedule A above.

Please do not include any details of any amount given to the Association as part of the Wager Guarantee (as that term is defined in the VBA's Eligibility Policy).

3.1					
Location of funds	Type of Account (Individual / Joint)	Current amount of your share (\$)	Ownership (%)	Accountant activity (e.g., sighted records)	Signature of Accountant

**G** SUBTOTAL: \_\_\_\_\_

#### 4. INVESTMENTS

Please do not include any details of any amount given to the Association as part of the Wager Guarantee (as that term is defined in the VBA's Eligibility Policy). Please INCLUDE any business investment in which you have any direct, indirect, vested, or contingent interest.

4.1 SHARES (incl. shares in Australian or overseas businesses)								
Type / Name	Company / Other Investment details	Quantity	Date of valuation	Type of ownership (Individual / Joint)	Ownership (%)	Current market value	Accountant activity (e.g., sighted share certificate and verified value)	Signature of Accountant

**H** SUBTOTAL: \_\_\_\_\_

4.2 FIXED INTEREST SECURITIES (i.e., DEBENTURES, BONDS)								
Type / Name	Company / Other Investment details	Quantity	Date of valuation	Type of ownership (Individual / Joint)	Ownership (%)	Current market value	Accountant activity (e.g., sighted records)	Signature of Accountant

**I** SUBTOTAL: \_\_\_\_\_

4.3 SUPERANNUATION (where legally able to immediately access the benefits in accordance with Commonwealth legislation)								
Type / Name	Company / Other Investment details	Quantity	Date of valuation	Type of ownership (Individual / Joint)	Ownership (%)	Current market value	Accountant activity (e.g., sighted policy)	Signature of Accountant

**J** SUBTOTAL:

4.4 LIFE INSURANCE								
Type / Name	Company / Other Investment details	Quantity	Date of valuation	Type of ownership (Individual / Joint)	Ownership (%)	Current market value*	Accountant activity (e.g., sighted policy)	Signature of Accountant

**K** SUBTOTAL:

\*Includes only the cash surrender value of the life insurance policy. This is the amount received if the life insurance policy was cancelled before it matures or before the person passes away.

4.5 UNIT TRUSTS								
Type / Name	Company / Other Investment details	Quantity	Date of valuation	Type of ownership (Individual / Joint)	Ownership (%)	Current market value	Accountant activity (e.g., sighted records)	Signature of Accountant

**L** SUBTOTAL:

4.6 OTHER MARKETABLE SECURITIES (Please do not include any assets provided in parts 4.1, 4.2, 4.3, 4.4, 4.5 or 4.6)								
Type / Name	Company / Other Investment details	Quantity	Date of valuation	Type of ownership (Individual / Joint)	Ownership (%)	Current market value	Accountant activity (e.g., sighted share certificate and verified value)	Signature of Accountant

**N** SUBTOTAL:

**5. REAL ESTATE**

Please include all Australian and foreign real estate holdings that are registered wholly or partly in your name.

5.1									
Property Description (e.g., house, unit, land)	Address	Date of Valuation (dd/mm/yyyy)	Method of valuation	Is the property jointly owned? (Y/N)	Full value of property	Value of Bookmaker's portion	Encumbrances (e.g., mortgage, covenant, guarantee include \$ value listed in part 1 of Schedule B)	Accountant activity (e.g., sighted certificate of title, rates notice)	Signature of Accountant

**O** SUBTOTAL:



## 6. OTHER BUSINESS VENTURES

Please include ANY joint ventures, partnerships, sole proprietorships, family trusts and other business ventures.

6.1 JOINT VENTURES							
Name of Company / Venture	% of Your Holding	Total Assets	Total Liabilities	Net Assets	Last Date accounts audited	Accountant activity (e.g., sighted audit report)	Signature of Accountant

**P** SUBTOTAL: \_\_\_\_\_

6.2 PARTNERSHIPS							
Name of Company / Venture	% of Your Holding	Total Assets	Total Liabilities	Net Assets	Last Date accounts audited	Accountant activity (e.g., sighted audit report)	Signature of Accountant

**Q** SUBTOTAL: \_\_\_\_\_

6.3 SOLE PROPRIETORSHIPS							
Name of Company / Venture	% of Your Holding	Total Assets	Total Liabilities	Net Assets	Last Date accounts audited	Accountant activity (e.g., sighted audit report)	Signature of Accountant

**R** SUBTOTAL: \_\_\_\_\_

6.4 FAMILY TRUSTS							
Name of Company / Venture	% of Your Holding	Total Assets	Total Liabilities	Net Assets	Last Date accounts audited	Accountant activity (e.g., sighted audit report)	Signature of Accountant

**S** SUBTOTAL: \_\_\_\_\_

## 7. ASSETS HELD ON YOUR BEHALF

Please include any assets held on trust or otherwise.

7.1 OTHER BUSINESS VENTURES						
Description of Assets	Company / Other Investment details	Ownership %	Total market value	Market value of Bookmaker's %	Accountant activity (e.g., sighted trust deed)	Signature of Accountant

**T** SUBTOTAL:

## 8. OTHER ASSETS

Please include any additional assets that have independently valued, which valuations may be accepted for inclusion in this Declaration by the Association in its sole discretion.

8.1 MOTOR VEHICLES, FURNITURE, ARTWORK, BOATS, CARAVANS, JEWELLERY, ANTIQUES AND OTHER COLLECTIONS							
Description of Assets	Ownership %	Date of Valuation (dd/mm/yyyy)	Method of valuation	Total market value	Market value of Bookmaker's %	Accountant activity (e.g., sighted valuation)	Signature of Accountant

**U** SUBTOTAL:

8.2 OTHER ASSETS NOT COVERED IN 8.1							
Description of Assets	Ownership %	Date of Valuation (dd/mm/yyyy)	Method of valuation	Total market value	Market value of Bookmaker's %	Accountant activity (e.g., sighted audit report)	Signature of Accountant

**V** SUBTOTAL: \_\_\_\_\_

**A** + **B** + **C** ... **V** ▶ **TOTAL ASSETS:** \_\_\_\_\_

# SCHEDULE B: LIABILITIES

## HOW ARE TOTAL LIABILITIES CALCULATED?

To calculate TOTAL LIABILITIES, please add up the sub-totals marked



These can be found at the bottom of each of the tables outlined in this schedule, for example:

### 1. LOANS

Please include ANY loans of a personal or business nature.

1.1 OVERDRAFTS									
Financial Institutions / Persons	Loan security (e.g., property address)	Credit type (e.g., personal or business)	Ownership amount (% and/or jointly and severally held)	Total loan amount (\$)	Outstanding Amount (\$)	Current amount of your share (\$)	Frequency of repayment and amount	Accountant activity (e.g., sighted loan documentation, confirmed balance)	Signature of Accountant
<input checked="" type="checkbox"/> SUBTOTAL:									

1.2 MORTGAGES (i.e., real property loans)									
Financial Institutions / Persons	Loan security (e.g., property address)	Credit type (e.g., personal or business)	Ownership amount (% and/or jointly and severally held)	Total loan amount (\$)	Outstanding Amount (\$)	Current amount of your share (\$)	Frequency of repayment and amount	Accountant activity (e.g., sighted loan documentation, confirmed balance)	Signature of Accountant
<input checked="" type="checkbox"/> SUBTOTAL:									

# 1. LOANS

Please include ANY loans of a personal or business nature.

1.1 OVERDRAFTS									
Financial Institutions / Persons	Loan security (e.g., property address)	Credit type (e.g., personal or business)	Ownership amount (% and/or jointly and severally held)	Total loan amount (\$)	Outstanding Amount (\$)	Current amount of your share (\$)	Frequency of repayment and amount	Accountant activity (e.g., sighted loan documentation, confirmed balance)	Signature of Accountant

**W** SUBTOTAL: \_\_\_\_\_

1.2 MORTGAGES (i.e., real property loans)									
Financial Institutions / Persons	Loan security (e.g., property address)	Credit type (e.g., personal or business)	Ownership amount (% and/or jointly and severally held)	Total loan amount (\$)	Outstanding Amount (\$)	Current amount of your share (\$)	Frequency of repayment and amount	Accountant activity (e.g., sighted loan documentation, confirmed balance)	Signature of Accountant

**X** SUBTOTAL: \_\_\_\_\_

1.3 OTHER LOANS NOT COVERED IN 1.1 OR 1.2									
Financial Institutions / Persons	Loan security (e.g., property address)	Credit type (e.g., personal or business)	Ownership amount (% and/or jointly and severally held)	Total loan amount (\$)	Outstanding Amount (\$)	Current amount of your share (\$)	Frequency of repayment and amount	Accountant activity (e.g., sighted loan documentation, confirmed balance)	Signature of Accountant
<b>Y</b> SUBTOTAL:									

**2. CREDIT CARDS, STORE CARDS, UNSECURED UTILITIES**

2.1							
Name of lender (e.g., bank)	Credit type (e.g., card)	Ownership (%)	Credit limit (\$)	Repayment frequency and amount	Outstanding amount (\$)	Accountant activity (e.g., sighted documentation, confirmed balance)	Signature of Accountant
<b>Z</b> SUBTOTAL:							





## 5. GUARANTEES OR INDEMNITIES

Please include ANY guarantees or indemnities of a personal or business nature.

5.1						
Nature of commitment	In favour of (name person / entity)	Ownership (%)	Commitment expiry	Outstanding balance (\$)	Accountant activity (e.g., sighted documentation)	Signature of Accountant

**AC** SUBTOTAL: \_\_\_\_\_

## 6. CONTINGENT LIABILITIES

Please include ANY court judgment OR legal proceedings of which you are aware that will materially affect your financial status. Please provide details, expected amount of liabilities.

6.1			
Description	Expected amount (\$)	Accountant activity (e.g., sighted court documents)	Signature of Accountant

**AD** SUBTOTAL: \_\_\_\_\_

**W** + **Y** + **Z** ... **AD** ▶

**TOTAL LIABILITIES:** \_\_\_\_\_

# SCHEDULE C: NET ASSETS AND DECLARATION

## HOW ARE NET ASSETS CALCULATED?

To calculate your NET ASSETS, you must deduct your TOTAL LIABILITIES (set out in **2**) from your TOTAL ASSETS (set out in **1**)

These figures can be found at the bottom of pages 12 and 17 above. For example, where the following appears on those pages:

**A** + **B** + **C** ... **V** ▶ **TOTAL ASSETS:** ..... **1**

**W** + **Y** + **Z** ... **AD** ▶ **TOTAL LIABILITIES:** ..... **2**

**Please insert your NET ASSETS here ▶**

## DECLARATION BY THE APPLICANT:

<b>A</b>	<ol style="list-style-type: none"> <li>1. I hereby declare that the information supplied in this statement represents fully and completely my financial status.</li> <li>2. I also declare that I am not aware of any matters which may render the information supplied to be incomplete or inaccurate. Additionally, I am not aware of any other matters which may affect my financial status as disclosed in this statement.</li> <li>3. I undertake to inform the Association by email to <a href="mailto:info@vicbookmakers.com.au">info@vicbookmakers.com.au</a> immediately should any matters occur that materially alter my financial status from that set out in this form.</li> <li>4. I acknowledge that the information (including any personal information) contained in this statement will be used by the Association in connection with its assessment of my completed Application Form for membership with the Association. A copy of the Application Form is available at <a href="https://vicbookmakers.com.au/join-the-vba/">https://vicbookmakers.com.au/join-the-vba/</a>.</li> <li>5. I also declare that I am not aware of any matter occurring in the foreseeable future that would jeopardise the first declaration.</li> </ol>	<p>Signed by:</p> <p style="text-align: center;">-----</p> <p style="text-align: center;"><i>sign here</i> ▶</p> <p style="text-align: center;">-----</p> <p style="text-align: center;"><i>print name</i> ▶</p> <p style="text-align: center;">-----</p> <p style="text-align: center;"><i>print capacity (i.e., bookmaker, partner, director)</i> ▶</p> <p style="text-align: center;">-----</p> <p style="text-align: center;"><i>Insert date</i> ▶</p> <p style="text-align: center;">-----</p>
----------	---	---